Table of recommendations from NARF regarding the current NHMRC Grant Scheme and Review Process which came out of a NARF meeting held at the Queensland Brain Institute on the 17<sup>th</sup> of June 2019. This meeting was attended by NARF executive, Director and members of the Research Office at UQ, additional researchers from UQ and NARF members online. In addition, we received written submissions from several researchers, including from some who attended the Investigator and Synergy grant review panels.

| Торіс  | Recommendations  | Benefits   |
|--|--|--|
| Topic<br>Application<br>Process –<br>General | Recommendations<br>Allow CI to apply for one<br>Investigator, and one Ideas<br>grant in the same round, and<br>keep both.  | Capping: reduction of requirement for<br>ineligible grants to be assessed.<br>Currently there appears to be ~2,000<br>Investigator Grant and ~3,000 Ideas Grant<br>applications which is contrary to the<br>predicted model and has resulted in<br>burdening peer-review panels, and will<br>result in poor success rates.<br>Allows for more collaborative grants as<br>risk of having Ideas Grant ruled as<br>ineligible is removed due to involvement<br>of a named chief investigator on a<br>successful Investigator Grant.<br>Could allow the Investigator Grants<br>deadline to be earlier and therefore not<br>over Christmas-New Year break, which<br>impacts on those with young families in |
| Peer-<br>Review<br>Process                   | Provide feedback to applicants<br>that should reflect the given<br>score (due diligence in peer<br>review).  | particular.<br>Promotes transparency and<br>accountability.<br>Fosters better science.   |
| Peer-<br>Review<br>Process                   | Peer-review guidelines need to<br>be in place before a round<br>starts. All information in one<br>location, preferably on the<br>NHMRC website. Info on<br>GrantConnect is diffuse and<br>difficult to navigate.                       | Allows applicants to understand how<br>applications will be assessed.<br>Promotion of transparency of<br>assessment process.   |
| Peer-<br>Review<br>Process                   | Resize grant assessor panels to<br>avoid superpanels with a<br>spread of expertise contusive<br>to randomization of the<br>outcome. NHMRC funded<br>people should be required to<br>support/participate in the peer<br>review process. | Reduces the number of applications to<br>be reviewed by one panel.<br>Potentially will reduce the amount of<br>conflict of interest.<br>More likely that panel members familiar<br>with disciplines will be involved in the<br>review process.   |

| _            |                                   |   |
|--------------|-----------------------------------|---|
| Peer-        | Allow for external reviewers to   | External reviewers provide expert         |
| Review       | avoid over-reliance on non-       | feedback to applicants and panel.         |
| Process      | expert super-panel members.       |   |
| Peer-        | Allow for a mix of experienced    | Promotes better and more complete         |
| Review       | and early career researchers on   | assessments by providing different views  |
| Process      | panels.                           | and experience.                           |
|              | Reinstate assigner academy        | Allows for young investigators to learn   |
|              | and associated Externals. On      | from colleagues and results in a larger   |
|              | what basis is a panel formed      | pool of potential reviewers.              |
|              | and by who?                       | Allows for recognition of innovation and  |
|              |                                   | creativity by assessors.                  |
| Deer         | Review Conflict of Interest       | · ·                                       |
| Peer-        |                                   | Having a more relaxed COI policy may      |
| Review       | (COI) policy and improve          | overcome the dearth of available          |
| Process      | processes to record COI.          | assessors for some disciplines.           |
|              | In some fields (e.g. genetics)    | Reduces time spent on entering COI        |
|              | with large numbers of co-         | details in current system.                |
|              | authors from different            |   |
|              | institutions, some experts        |   |
|              | publish with many Australians     |   |
|              | in their field. They are          |   |
|              | penalized as their grants do not  |   |
|              | get reviewed by experts in the    |   |
|              | field (even though they may       |   |
|              | never have met many co-           |   |
|              | authors). Co-authoring with       |   |
|              | someone in the previous 5         |   |
|              | years should not be an            |   |
|              | automatic high COI.               |   |
| Peer-        | Reinstate interviews after        | It is reasonable for EL1 and EL2 Emerging |
| Review       | triage.                           | Leadership Fellows not to be interviewed. |
| Process      |                                   | However, for Leadership Fellows (L1,2,3), |
|              |                                   | which have replaced SRF, PRF, SPRF,       |
|              |                                   | interviews should be reinstated. At top   |
|              |                                   | level an L3 with \$600K per year package  |
|              |                                   | would get \$4M over 5 years, after non-   |
|              |                                   |   |
|              |                                   | expert assessor scores, no comments and   |
|              | More elevity in Statement of      | no interviews. Due diligence needed.      |
| Investigator | More clarity in Statement of      | This would avoid confusion and            |
| Grants       | Expectations to understand        | uncertainly of where applicants should    |
|              | differences between               | position themselves.                      |
|              | Leadership levels by providing    | Clarity of expectations leads to better   |
|              | eligibility table either based on | applications and better assessments.      |
|              | current academic salary level     |   |
|              | (or equivalent) or elaborate on   |   |
|              | the Table 1 Guidance on           |   |
|              | relationships between NHMRC       |   |
|              | Fellowship schemes and            |   |
|              |                                   |   |

|                        | Investigator Grant Levels   |   |
|------------------------|---|---|
|                        | already provided.   |   |
| Investigator<br>Grants | Allow the salary component of<br>an Investigator Grant to start<br>from the end date of an<br>existing fellowship (if<br>applicable) during the funding<br>period.  | This would allow for continuity of salary<br>for the investigator and research<br>program.  |
| Investigator<br>Grants | Break up the two Emerging<br>Leader levels according to the<br>number of years post-PhD (e.g.<br>0-5 for EL1 and 6-10 for EL2)<br>allowing for Career Disruptions.<br>EL 1 should be aligned with CJ<br>Martin Fellowships (most<br>productive fellowship in the<br>history of the NHMRC) and<br>encourage the possibility of an<br>oversea post-doc.   | As for Leadership levels, Emerging<br>Leader level 1 and 2 need to be more<br>clearly defined to allow assessors to<br>properly consider track record in terms of<br>relative to opportunities.<br>This should exclude full professors from<br>applying at these levels and creating an<br>imbalance in the scheme            |
| Investigator<br>Grants | Review capping restrictions<br>(number of CI slots)<br>inconsistencies across<br>schemes. Draconian capping<br>for Ideas grants, but unlimited<br>for CTCS. We recommend<br>alignment of both with more<br>relaxed capping. Perhaps<br>introduce a \$ capping in the<br>same way Investigator and<br>Synergy grants. Note that large<br>CTCS should be derived from<br>the MRFF (to avoid double-<br>dipping across NHMRC and<br>MRFF). | Provides rationale for differences given<br>there is no capping for Clinical Trials and<br>Cohort Studies grants.<br>Lifting or making caps less restrictive<br>means Australian-based researchers<br>would be competitive with other<br>international leaders.<br>May encourage top researchers to stay<br>within Australia. |
| Investigator<br>Grants | Review capping restrictions to<br>allow researchers to hold an<br>Investigator Grant and an Ideas<br>Grant funding at the same<br>time.   | Provides realistic support for the research<br>program and the research staff.<br>Especially for Investigator grants that<br>have low ranking and therefore little<br>funds to carry out their entire research.   |
| Investigator<br>Grants | Ensure category descriptors<br>and match up to guidelines.  | This will aid applicants and assessors.   |
| Investigator<br>Grants | Allow Emerging Leader 1 to<br>apply for and hold 1 Ideas<br>Grant.  | While the \$50K p.a. RSP is a welcomed<br>introduction, the funding is insufficient<br>for most researchers to initiate and<br>maintain an independent research<br>program. The recommendation would be   |

|                        |  | to allow the fellow to supplement their<br>research income by allowing them to<br>apply for other funding such as an Ideas<br>Grant.  |
|------------------------|--|---|
| Investigator<br>Grants | Introduction of a budget at application stage.   | A budget commensurate with the<br>applicant's project aims would go to<br>determining scope and therefore<br>feasibility.<br>A budget could also inform the level of<br>RSP required.   |
| Investigator<br>Grants | <ul> <li>Re-introduce the following into applications:</li> <li>Conference presentations</li> <li>Achievements</li> <li>Career Disruptions</li> <li>Last 5 years publications</li> <li>Career Trajectory and</li> <li>Vision</li> <li>Budget</li> </ul>  | Current applications have insufficient<br>information for robust assessment.<br>Mention issue of assessing publications<br>within predatory journals and<br>presentations at predatory conferences.<br>Panel members will not need to seek<br>external confirmation of facts.<br>Vision would inform appropriate<br>required RSP level. |
| Investigator<br>Grants | Allow existing fellows (previous<br>RF scheme) to apply for RSP  | Allow to achieve program goals. The<br>current rules are deemed discriminatory<br>to those holding Research Fellowships.<br>Heads of Institutes, Faculty, School,<br>Department with permanent salaries can<br>apply for package, but Fellows cannot.   |
| Investigator<br>Grants | Provide more guidance on assessment of three sections.   | More guidance on assessment translates<br>into better applications.<br>Less reliance on Assessor's home<br>institutional grants office's interpretation<br>and thus an even playing field.  |
| Investigator<br>Grants | Provide MRFF fellowships<br>specifically for allied health<br>professional.  | Allows for clinicians to contribute to<br>research otherwise they wont be<br>competitive in the current Investigator<br>Grants format.<br>Better ROPE assessment.   |
| Ideas<br>Grants        | Increase page limits for<br><i>Feasibility</i> or provide another<br>section for team composition.<br>Reinstate a section called Track<br>Record (relative to<br>opportunity), as per<br>Investigator, Synergy and CTCS<br>schemes. It is the best<br>predictor of future productivity<br>and success. | Allows for proper description of<br>investigator roles and therefore better<br>informs feasibility.   |

| Ideas             | Consider introduction of grant             | Need funding mechanism for continuing  |
|-------------------|--|--|
| Grants            | schemes that bridge the gap                | innovative work as Ideas is for new  |
|                   | between Ideas Grants and                   | ideas. In our view, this is a consideration                                  |
|                   | grants focussed on translating research    | for MRFF funding. NARF will make a<br>submission to the MRFF board and       |
|                   |  | request a meeting with the Health  |
|                   |  | minister.  |
| Ideas             | More clarity on differences                | Would avoid unnecessary duplication of                                       |
| Grants            | between Ideas Grants and                   | applications in different schemes.   |
|                   | Clinical Trials and Cohort                 |  |
| Superau           | Studies.<br>What does 'cultural diversity' | Please clarify in the guidelines   |
| Synergy<br>Grants | mean?                                      | riease clarity in the guidelines   |
| MRFF              | Clarify role and scope of MRFF.            | Clarify misconception that MRFF does   |
|                   | We are writing a submission to             | not fund fundamental research.   |
|                   | the MRFF board to request                  |  |
|                   | clarification. Our view is that            |  |
|                   | MRFF would be best used to                 |  |
|                   | take the strain off NHMRC, and             |  |
|                   | allow it to fund more basic                |  |
|                   | biomedical research (as NIH                |  |
| MRFF              | does).<br>Consider funding early career    | MRFF could help to generate such join  |
|                   | researcher Biotech Research                | NHMRC/MRFF Biotech fellowship.   |
|                   | Fellowships similar to CJ Martin           |  |
|                   | but that instead of going                  |  |
|                   | overseas, the fellow is industry-          |  |
|                   | based.                                     |  |
| MRFF              | Should fund Clinical Trials and            | CTCS should also have a capped budget  |
|                   | Cohort Studies.                            | (e.g. \$500K per year). Additional funds                                     |
|                   |  | could come via MRFF. Ideas Grants  |
|                   |  | should have a similar budget cap,<br>otherwise success rates will remain low |
|                   |  | for the coming years.  |
|                   |  | for the coming years.  |